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Thank You for Joining Us!

- This webcast will cover ...
 - A regulatory overview
 - Best practices in compliance
 - A case study in implementation
 - Question & Answer session
- You will be muted during the event.
- Please use the Q&A feature to send in questions. We'll try to answer them during the Q&A period if they are not covered in the presentation.
- The slides and recording will be posted within 7 days at: www.jjkeller.com/nptcinfo



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Meet Your Presenters



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 National Private Truck Council



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 Standard Logistics - GAF Materials



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Roadside Inspections and Audits

Tom Bray
J. J. Keller & Associates, Inc.



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Roadside Inspection

- Primary tool used to verify compliance
- An on-the-spot assessment of your driver and vehicle
- Verifying compliance with the FMCSA safety regulations
- Officers use the same procedures and look for compliance with specific regulations during each step
- All violations of the safety regulations will be recorded



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Types of Inspection

- I: Driver and vehicle – Full 37-step roadside inspection
- II: Driver and walkaround – No underside components checks
- III: Driver only – No vehicle components
- IV: Special inspection – Collect data or specific programs
- V: Vehicle only – No driver available (a.k.a. terminal inspection)
- VI: Radioactive
- VII: Jurisdictional
- VIII: Electronic inspection – Under development



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Reasons an Inspection Takes Place

- Driving violation
- Visible vehicle violation
- Poor ISS score and recommendation
- Random
- Post-accident



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Possible Outcomes

- No violations
- Violations, but allowed to continue
 - Violations must be addressed the day of the inspection or as part of the net DVIR cycle
- Vehicle and/or driver placed out of service
 - Cannot go until OOS violations are corrected



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Problems with a Roadside Inspection?

- Normal recourse is DataQs (dataqs.fmcsa.dot.gov)
 - Request copies of reports
 - Ask where reports are or ask that someone else's be removed from your data
- Challenge violations
 - Argue facts, not opinions
 - Be able to support your argument
 - Regulations, interpretations, inspection bulletins, operational policies
 - Statements
 - Pictures



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Uses of Roadside Inspection Reports

- Feeds Compliance, Safety, Accountability
- Feeds the Pre-employment Screening Program
- Locating problem companies or drivers
- Spotting specific violations
- Cross reference during an audit



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Key Point

- You can be prepared if you:
 - Know what officers are looking for
 - Know what officers normally find
- Consider roadside inspections part of your inspection program
 - Driver daily pre and post, maintenance/systematic PM, annual/periodic, and roadside
- Do driver training on roadside inspections
 - What to expect
 - What will be inspected



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Audits

- Correctly known as:
 - Focused on-site and off-site investigations
 - Focus based on carrier's problem areas seen on roadside inspections and in BASIC Scores
 - Compliance reviews
 - Comprehensive review of the company
 - General
 - Drivers
 - Operational (including HOS)
 - Vehicles
 - Hazardous materials
 - Preventable crash rate per million miles



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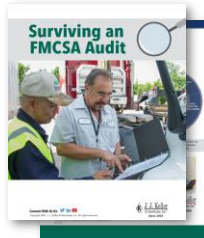
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- DOT Audit Services
- Policies & Procedures



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Scoring the Audit

- One point assigned to the correct factor for:
 - A violation of acute regulations
 - A pattern of violations of a critical regulation (two points if HOS-related)
- Each Factor is then scored and assigned a rating
 - 0 – Satisfactory
 - 1- Conditional
 - 2- Unsatisfactory



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Scoring the Audit

- The final score (rating) is based on the number of Factors that were Conditional or Unsatisfactory

	Factor ratings		Overall Safety rating
	Unsatisfactory	Conditional	
0	2 or fewer		Satisfactory
0		more than 2	Conditional
1	2 or fewer		Conditional
1		more than 2	Unsatisfactory
2 or more	0 or more		Unsatisfactory



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Best Practices in Compliance

Tom Moore, CTP
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Why the Regs Aren't Followed

- Poor communication
- Conflicting goals
- Forms and processes that lead to poor follow-through



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Raising the Bar

- Hire Intelligently
- Train drivers
- Arm them with the right tools
- Ensure follow-up with all personnel
- Pay a driver incentive tied to inspection performance



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Raising the Bar (continued)

- Encourage compliance with pre- and post-trip inspections
- Conduct your own weekly and spot inspections
- Review data monthly
- Track ALL roadside inspections for trending
- Address vehicle issues that repeat through maintenance adjustments (improve schedules or checklists)



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Audit Your Inspection Practices

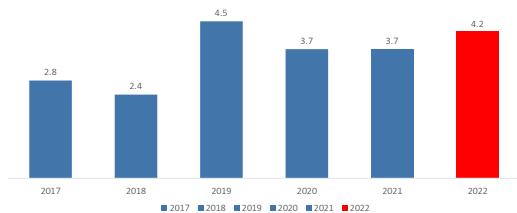
- Out of service rates
- Accident rates
- Equipment violation citations
- Maintenance cost and repair



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Breakdown Percentage= Breakdowns Per 100,000 Miles





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More Breakdown Metrics

- Average reported cost is \$785 vs \$764 last year
- Average number of miles between breakdowns is 49,000 vs 40,000 year
- Average time of breakdown is 7.5 hours vs. 6.6 hours last year
- An average of 5.3% of the breakdowns resulted in an out-of-service violation



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Benefits of Raising the Bar

- Lower overall maintenance costs
- Increased fuel mileage
- Improved driver retention
- Improved on-time percentages



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A Fleet's Perspective
John Robbins, CTP
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Strategies for Stress Free Inspections

- Training
- Engagement
- PSP / Outcomes
- Rewards



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Understanding the “Why” with Pre-Trips



- Spend the time explaining the why behind each component of the inspection
- You cannot learn pre-trips sitting in a classroom.
- Period of instruction should include zero assumptions.



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Law Enforcement Interaction

- Engage local law enforcement
- Create a non-threatening environment for drivers and officers to interact



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Mock Inspections

- Work with troopers to identify exactly what they are looking for.
- Conduct mock inspections with the troopers to develop confidence in new drivers.



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PSP and the Driver's Career

- Educate your drivers on what pre-employment screening is and how your organization utilizes the data
- Show your drivers what is visible on a records search
- Place more emphasis on educating which will allow the drivers to better understand and own their career



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Communication, Rewards and Recognition



- Ensure drivers are aware of campaign weeks!
 - Ask the Department of Public Safety what they are going to concentrate on.
- Let all drivers know about failures; it impacts the entire organization.
- Call out and recognize clean inspections.
 - Develop an impact award program for clean inspections
 - Tie inspections into safety/performance bonuses



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Question and Answer Session



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More Questions?



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Drug & Alcohol Clearinghouse

July 27, 2022 – 10:00 AM Central Time

Visit www.jkkeller.com/nptcinfo to register

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